



**CITY OF LODI
COUNCIL COMMUNICATION**

AGENDA TITLE: Adopt Resolution Authorizing City Manager to File Claim for 2005/06 Transportation Development Act (TDA) Funds in the Amount of \$2,694,887 from Local Transportation Fund (LTF) and \$11,614 from State Transit Assistance (STA)

MEETING DATE: October 19, 2005

PREPARED BY: Public Works Director

RECOMMENDED ACTION: That the City Council adopt a resolution authorizing the City Manager to file the attached claim for the 2005/06 Transportation Development Act (TDA) funds in the amount of \$2,694,887 from the Local Transportation Fund (LTF) and \$11,614 from State Transit Assistance (STA).

BACKGROUND INFORMATION: Each year, the City of Lodi receives an apportionment of TDA funds to support Lodi's transit operations and pedestrian/bicycle costs. These are State transportation funds that are primarily for non-vehicular transportation but can be used on roads if those other needs are being met. They are channeled through the Council of Governments, our regional transportation planning agency. The claim for fiscal year 2005/06, including pedestrian/bike and 3% for San Joaquin Council of Governments planning, is \$2,694,887 from the LTF and \$11,614 from STA. The STA funds will be used for Transit Operations. The LTF funds will be utilized as follows: \$68,465 for bicycle and pedestrian projects (which includes \$7,958 in carryover funds from 04/05); \$329,578 for road projects (which includes \$179,578 in carryover funds from 04/05); \$1,725,999 for Transit Operations and \$515,878 for Transit Capital projects (signs, shelters, etc., and includes \$242,727 in carryover funds from 04/05).

The City Council should be aware that our transit operations, Dial-A-Ride and GrapeLine, are fully funded with formula dollars from the Federal Transit Administration (FTA), TDA, and other competitive sources of funds. Transit is not dependent on any General Fund money. We intend to continue to use TDA funds for transit, pedestrian, and bicycle-related projects and maintenance as much as possible.

FISCAL IMPACT: This will allow the City to claim and receive TDA funding for FY 2005/06. These funds will pay for on-going operations and upcoming capital needs.

FUNDING AVAILABLE: None required.

Richard C. Prima, Jr.
Public Works Director

Prepared by Tiffani M. Fink, Transportation Manager
RCP/TMF/pmf
Attachment
cc: Finance Director
City Engineer

APPROVED:

Blair King, City Manager



SAN JOAQUIN COUNCIL OF GOVERNMENTS

555 E. Weber Avenue • Stockton, California 95202

209.468.3913 • 209.468.1084 (fax)

www.sjcog.org

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AND
THE COUNTY OF
SAN JOAQUIN

March 1, 2005

Ms. Tiffani Fink
City of Lodi
221 W. Pine Street
Lodi, CA 95240

Dear Ms. Fink:

Enclosed please find a copy of the FY 2005-2006 Transportation Development Act claim forms.

The LTF and STA apportionments for FY 2005-2006 were sent to you under separate cover.

When your staff is ready to begin the claiming process, please have them contact me. At that time I will provide the most recent previous years' unclaimed apportionment figures and will assist them in arriving at a correct balance for unexpended carryover (if applicable).

If I may be of assistance in this manner, or if you have any questions, please call me. I can be reached at 468-3913.

Yours truly,

ROBERT SCHIFFLER, CPA
Chief Accountant

RECEIVED

MAR 02 2005



CITY OF LODI
PUBLIC WORKS DEPARTMENT

FISCAL YEAR 2005-2006
SAN JOAQUIN COG
TRANSPORTATION DEVELOPMENT ACT
CLAIM FORMS AND GUIDELINES
FOR
STATE TRANSIT ASSISTANCE FUND (STA)
AND
LOCAL TRANSPORTATION FUND (LTF)

INSTRUCTIONS

These are the San Joaquin Council of Governments' forms for all Transportation Development Act claims, both Local Transportation Fund (LTF) and State Transit Assistance Fund (STA). This claim packet should include the following:

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Article 8 Contractor (Contributing Claimants)	23
II. Pedestrian and Bicycle Facilities	24
III. Roads and Streets	25
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Please read through these instructions carefully. The instructions are not meant to replace the Transportation Development Act Statutes and California Code of Regulations book. Each claimant is responsible for complying with all applicable statutes and codes. Statutes and codes are identified throughout the forms for easy reference.

Claim Request Sheets (Pages 6 and 7)

Page 6 is for the LTF claim and Page 7 is for the STA claim. These are to be completed and signed by the claimant's Chief Financial Officer. These pages identify the claimant, the amount of money being requested, and the fiscal year for which the request is being made. If funds are being claimed for proposed expenditures in two fiscal years, then a separate page should be completed for each fiscal year. The pages comply with Section 6632 of the TDA Statutes and California Code of Regulations.

Apportionments Page (Page 8)

Lines A, B, and C (both sections) should be completed based on information supplied to you by COG staff. Lines I.D, II.D, and the totals should be completed by the claimant. Line E. under both Local Transportation Funds and State Transit Assistance reflects funds authorized by the COG Board for transportation and transit planning. The totals indicate the maximum amount that may be claimed. The unexpended carryover should reflect unexpended funds as of June 30 of the previous fiscal year. These should include all interest earned on the funds while in the claimant's possession. Line I is for your information only and indicates the new cash you will receive.

Allocation Page (Page 9)

Page 9 identifies how much of the available LTF and STA funds will be expended on the various eligible purposes. Please identify the appropriate dollar amount on the line provided for each fund. If there are questions as to where to list an allocation request, please refer to the referenced section, or call COG staff.

Please total each column. Subtract the total claimed in each column from the TOTAL AVAILABLE FOR THIS CLAIM arrived at on page 8. The difference between the Total Available and the Claim Total is unclaimed apportionment, the amount of money that will remain in the Trust Fund accruing interest to the claimant.

IMPORTANT: to help prevent accidental over-payment, please identify on a line-by-line basis any unexpended carryover which is included on page 9.

Claim Allocation Forms (rest of packet: pages 10-26)

The Claim Allocation Forms provide the COG with the required financial and operational information for approving a TDA claim. There are five parts that correspond to the parts identified on the

Allocation page. Complete only those parts for which an allocation is being requested.

PART I

This part is to be completed by claimants who operate a transit system, or contract for transit services. Please indicate at the top of page 10 whether the claim is for an operator or a contractor. Generally, an "operator" is a service provider that owns the vehicles it operates, while a "transit service claimant" contracts for the provision of transit services. However, it is possible to own vehicles and yet not be an operator; please contact COG staff if you are not sure of your status. Note: if funds are being claimed for more than one transit service, separate entries, or separate pages must be provided.

On pages 10 and 11, the claimant must complete a budget for the transit system. If there is more than one transit service, then a separate budget must be submitted for each. These pages are in accordance with the Uniform System of Accounts and Records.

Page 12 calls for the system's operational information. Please complete all applicable lines. Again, if there is more than one transit service for which funds are being claimed, a separate sheet should be completed for each.

Page 13 is the Three Year Fiscal Plan. Please complete one sheet for each transit service being claimed. Please provide the best estimate of the funds each service will be relying upon in each of the indicated fiscal years. The Three Year Fiscal Plan is used as a tool for determining the adequacy of projected apportionments to meet the expected allocation needs.

The Fleet Inventory sheet on Page 14 is to be completed only by claimants who own their own vehicles.

Pages 15-19 are for Article 4 transit operators. This information is required for ensuring compliance with and enforcement of the required Farebox Ratio, Local Support Ratio and the STA qualifying criteria. Parts 1 and 4 are self-explanatory. You may wish to complete "2. Extension of Service/New Service," if portions of the transit service comply with the definition in 99268.8. Operators seeking to claim exemptions under this section must also complete and submit to the COG a special report: see 6633.8 (b). Complete "3. Operator's STA Qualifying Criteria" to comply with Section 99314.6 only if STA funds are being claimed or reclaimed for operating purposes. Complete "5. Narrative Description" if there has been any change in service characteristics, or if there is a

need to add explanations. Attach extra pages as necessary.

Pages 20-22 are to be completed by Article 8 transit service contractors. In the case of a "unified transit system", page 23 should be completed instead of pages 20-22, by the "contributing claimant" only (see page 23 for definitions). Once again, these pages are to be completed for each transit service being provided. Any questions relating to the TDA requirements should be referred to COG staff.

PART II

Please identify the Project, the Project Limits, and the LTF costs, as well as the Total Cost, for each pedestrian, bicycle, or other non-motorized expenditure. Note: by COG Board policy, the 2% bicycle/pedestrian funds may be used for other eligible TDA purposes, if the local governing body resolves that there are no pressing bicycle or pedestrian needs. However, the 2% must be spent on bicycle/pedestrian purposes for a jurisdiction to be eligible for Measure K Bicycle Program funds.

PART III

This is to be completed in the same fashion as Part II. Please list all road and street projects by the project description, the limits of the project, and the LTF cost, as well as the total project cost. Please see Section 99402 of the Transportation Development Act Statutes and California Code of Regulations for eligible expenses.

PART IV

Before completing this Part, it is strongly recommended to talk with COG staff first. This section is provided for those claimants wishing to identify TDA expenditures not covered in the four previous parts. This section should be completed in narrative fashion with each of the five items of information requested.

Additional Required Documentation

The following must be submitted along with the claim, or have already been submitted to COG, or the appropriate agency.

1. A certified fiscal audit must be submitted within 180 days after the end of the fiscal year, except where a 90 day extension has been granted by COG. (Section 99245 and

Section 6664).

2. Transit operators and transit service claimants must submit to the State Controller's Office and to the COG a report on all expenditures of TDA funds for transit purposes, on or before October 1 (Section 6637).
3. All non-transit claimants must submit to the State Controller's Office a report on all expenditures of TDA funds for non-transit purposes, on or before October 1 (Section 6665).
4. Two original, signed copies of a City Council/Board of Supervisor Resolution authorizing the claimed amount of money must accompany two original, signed forms. Upon COG Board approval, one copy will be returned to the claimant.
5. Transit operators seeking exemptions for extension of services/new services must submit a special report as required in 99268.8.
6. All TDA transit claims submitted by transit operators must be accompanied by a California Highway Patrol Certification pursuant to TDA Section 99251.
7. Two original, signed copies of a City Council/Board of Supervisor Resolution stating that there are no pressing bicycle or pedestrian needs must accompany claims that use their 2% bike/ped apportionment for other purposes. This can be part of the Resolution authorizing the TDA claim itself.
8. For Article 8 claimants, copies of contracts with transit contractors for the fiscal year for which TDA funds are being claimed.

END INSTRUCTIONS. PLEASE DETACH PAGES 1-5 BEFORE
SUBMITTING CLAIM TO COG

LOCAL TRANSPORTATION FUND

TO: San Joaquin Council of Governments
555 E. Weber Avenue
Stockton, CA 95202

FROM: City of Lodi, California
(applicant)

ADDRESS: 221 West Pine Street Lodi, CA 95240

(city, zip)

CONTACT PERSON: Tiffani M. Fink, Trans Mgr PHONE: (209) 333-6800 x2678

The City of Lodi hereby requests, in accordance with Chapter 1400, Statutes 1971 and applicable rules and regulations, that its annual transportation claim be approved in the amount of \$ 2,694,887 for fiscal year 2005-06, to be drawn from the Local Transportation Fund.

When approved, please transmit this claim to the County Auditor for payment. Approval of the claim and payment by the County Auditor to this applicant is subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved annual financial plan.

The claimant certifies that this Local Transportation Fund claim and the financial information contained therein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin Council
of Governments

By: JULIA E. GREENE
Executive Director

Date: 20

Applicant:

Signed:

Name: Blair King

Title: City Manager

Date: 20

STATE TRANSIT ASSISTANCE CLAIM

TO: San Joaquin Council of Governments
555 E. Weber Avenue
Stockton, CA 95202

FROM: City of Lodi, California

(applicant)

ADDRESS: 221 West Pine Street Lodi, CA 95240

(city, zip)

CONTACT PERSON: Tiffani M. Fink, Trans Mgr PHONE: (209) 333-6800 x2678

This claimant, qualified pursuant to Sections 99313.6, 99314.5 and 99314.6 of the Public Utilities Code, hereby requests, in accordance with Chapter 1400, Statutes of 1971 as amended, and applicable rules and regulations, that an allocation be made in the amount of \$ 11,614 for fiscal year 2005-06, to be drawn from the State Transit Assistance trust fund of San Joaquin County.

Allocation instruction and payment by the County Auditor to this claimant are subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved claim.

The claimant certifies that this State Transit Assistance Fund Claim and the financial information contained herein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin Council
of Governments

By: JULIA E. GREENE
Executive Director

Date: 20

Applicant:

Signed:

Name: Blair King

Title: City Manager

Date: 20

TRANSPORTATION DEVELOPMENT ACT APPORTIONMENTS

I. Local Transportation Fund Available Apportionment

A. Area Apportionment 2005-2006	\$	<u>2,149,150</u>
B. Pedestrian/Bicycle Apportionment		<u>47,009</u>
C. Previous Years' Unclaimed Apportionment		<u> </u>
D. Unexpended Carryover		<u>430,263</u>
E. 3% for COG Transit Planning		<u>68,465</u>
F. Total Available for 2005-2006 Claim(s)		<u>2,694,887</u>
G. Less any LTF Already Claimed 2005-2006		(<u> </u>)
H. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 9, 1st column)	\$	<u>2,694,887</u>
I. Actual net funds available (H-D-E=I)	\$	<u>2,264,624</u> =====

II. State Transit Assistance Fund Available Apportionment

A. Area Apportionment 2005-2006	\$	<u>11,614</u>
B. Special Operator Apportionment 2005-2006		<u> </u>
C. Previous Years' Unclaimed Apportionment		<u> </u>
D. Unexpended Carryover		<u> </u>
E. 2% of A. Claimed on Behalf of COG for Transit Planning		<u> </u>
F. Total Available for 2005-2006 Claim(s)		(<u>11,614</u>)
G. Less any STA Already Claimed 2005-2006		(<u> </u>)
H. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 9, 2nd column)	\$	<u>11,614</u>
I. Actual net funds available (H-D-E=I)	\$	<u>11,614</u> =====

TRANSPORTATION DEVELOPMENT ACT ALLOCATIONS

<u>Claim Purpose</u>	I. LTF	II. STA
I. PUBLIC TRANSPORTATION		
Article 4 (99260) -Operator ¹		
CCR Sec 6730(a) Public Transit		11,614
Article 8 (99400(c))		
Contractor operating	1,725,999	N/A
Article 8 (99400(e))		
Contractor capital	515,878	N/A
Article 8 (99400(b))		
Passenger Rail Service		
Operations & Capital		N/A
TDA Administration	68,465	
II. PEDESTRIAN AND BICYCLE		
Article 3 (99234)	54,967	N/A
III. ROADS AND STREETS		
Article 8 (99400(a))	329,578	N/A
IV. OTHER		
Article 8 (99400(b,c,d,e))		
TOTAL THIS CLAIM	2,694,887	11,614
TOTAL AVAILABLE FOR THIS CLAIM		
(from page 8, I. H. and II. H.)	2,264,624	11,614
UNCLAIMED APPORTIONMENT		
(TOTAL AVAIL. less TOTAL THIS CLAIM)		
 <u>IMPORTANT:</u> To avoid accidental overpayment, please identify and itemize in the space below any unexpended carryover included in the amounts being claimed above. Identify the amount of carryover <u>and</u> the purpose for which it is being reclaimed. Attach pages as necessary.		
LTF in Transit Fund to be reclaimed for	Transit Capital	\$ 242,727
LTF in Street & Road Fund reclaimed for	Street/Road	\$ 179,578
LTF in Ped/Bike Fund reclaimed for	Ped/ Bike	\$ 7,958
STA in Transit Fund reclaimed for		\$
TOTAL UNEXPENDED CARRYOVER		\$=====430,263=====

¹ Operators claiming STA funds must meet qualifying criteria (PUC Section 99314.6). Page 17 of this form must be completed.

PART I - PUBLIC TRANSPORTATION

PLEASE CIRCLE EITHER:

FINANCIAL INFORMATION

Article 4 Operator
Article 8 Contractor

		2004-2005	
		PLEASE CIRCLE	
		ACTUAL or <u>ESTIMATE</u>	
		2005-2006	
		BUDGET	
I.	OPERATING REVENUE		
401	Passenger Fares	278,039.09	300,000
402	Special Transit Fares		
405	Charter Service Revenues		
406	Auxiliary Transportation Revenues (includes advertising)		
407	Non-Transportation Revenues		
408	Tax Revenue (Specify:)		
	Property Tax		
	Sales Tax (not TDA)		
409	Local Grants & Reimbursements		
	Purchase of Service		
	Local Transportation Fund (LTF)	1,540,078	1,725,999
410	Local Special Fare Assistance		
411	State Cash Grants & Reimb.		
	State Transit Assistance (STA)	6,482	11,614
	Other Rent/ Fuel Sales/ Misc		
412	State Special Fare Assistance		
413	Federal Grants & Reimbursements (Specify) FTA Grants	939,600	993,613
430	Contributed Services (Not Cash)		
440	Subsidy from other Sector of Operations		
	TOTAL	2,764,199.09	3,031,226.00
II.	CAPITAL REVENUE		
464	Capital Grants & Subsidies		
	Specify Fed, State, Local:		
	<u>Federal/ CMAQ/ Measure K</u>		868,333
	State Transit Assistance (STA)		
	Local Transportation Fund (LTF)		515,878
	Non-Governmental Donations /Other	97,354.25	
	TOTAL	\$ 97,354.25	\$1,384,211

III. OPERATING EXPENSES

2004-2005
PLEASE ~~CIRCLE~~
Actual or Estimate 2005-2006
Budget

501	Labor Operators Salaries/Wages Other Salaries/Wages	<u>69,626.86</u>	<u>76,377</u>
502	Fringe Benefits	<u>20,407.62</u>	<u>35,490</u>
503	Services	<u>177,975.78</u>	<u>200,000</u>
504	Materials/Supplies Fuels/Lubricants Tires/Tubes Other	<u>165,852.96</u> <u>251,744.64</u>	<u>165,000</u> <u>261,614</u>
505	Utilities	<u>51,737.80</u>	<u>56,345</u>
506	Casualty/Liability Costs	<u>135,166.04</u>	<u>140,000</u>
507	Taxes		
508	Purchased Transportation Service	<u>1,630,798.65</u>	<u>1,800,000</u>
509	Miscellaneous Expenses	<u>260,888.74</u>	<u>296,400</u>
510	Expense Transfers		
511	Interest Expense		
512	Leases and Rentals		
513	Depreciation/Amortization Operator Funds Grant Funds		
	TOTAL	<u>2,764,199.09</u>	<u>3,031,226</u>

IV. CAPITAL EXPENSES *

	Debt Service		
	Land/Property Acquisition		
	Vehicles		
	Construction Other	<u>97,354.25</u>	<u>1,384,211</u>
	TOTAL	<u>97,354.25</u>	<u>1,384,211</u>

*Allowable capital expenses are limited for Article 8 claimants; see 99400 (e).

OPERATIONAL INFORMATION*

	<u>Actual</u> <u>FY 2003-04</u>	<u>Actual/Est.</u> <u>FY 2004-05</u>	<u>Proposed</u> <u>FY 2005-06</u>
1. <u>Patronage</u>			
a. Total Passengers	<u>493,552</u>	<u>441,236</u>	<u>450,000</u>
b. Revenue Passengers	<u>352,102</u>	<u>325,160</u>	<u>330,000</u>
c. Youth Passengers	<u> </u>	<u> </u>	<u> </u>
d. Elderly Passengers	<u>89,728</u>	<u>92,492</u>	<u>93,000</u>
e. Handicapped Passengers	<u>Included above under Elderly Passengers</u>		
2. <u>Vehicle Miles</u>			
a. Total Vehicle Miles	<u>613,500</u>	<u>599,708</u>	<u>605,000</u>
b. Revenue Vehicle Miles	<u>599,882</u>	<u>588,092</u>	<u>600,000</u>
3. <u>Revenue Vehicle Hours</u>	<u>52,224</u>	<u>53,066</u>	<u>53,000</u>
4. <u>Revenue Vehicle Fuel Consumption</u>			
a. Diesel- CNG	<u>102,212.7</u>	<u>103,321</u>	<u>105,000</u>
b. Gasoline	<u>27,529.6</u>	<u>26,990</u>	<u>27,000</u>
5. <u>Fare Structure</u>			
a. Base	<u>FR \$.50 prior to 01/05; \$1.00 after</u>		
b. Zone	<u>DAR \$2.00 prior to 01/05; \$5.00 after</u>		
c. Youth	<u> </u>	<u> </u>	<u> </u>
d. Senior	<u>FR \$.25 prior to 01/05; \$1.00 after</u>		
e. Handicapped	<u>DAR \$1.00 prior to 01/05; \$1.50 after</u>		
f. Monthly Pass	<u>FR: \$35.00/ \$17.50</u>		
g. Other	<u> </u>	<u> </u>	<u> </u>
h. Average Fare	<u>\$.35/\$1.25</u>	<u>\$.66/\$1.55</u>	<u>\$.70/\$1.55</u>

*Attach additional pages as necessary to alter or complete description

THREE YEAR FISCAL PLAN

	2005-06	2006-2007	2007-2008
Operating Expenses	\$ <u>2,719,612</u>	\$ <u>2,700,000</u>	\$ <u>2,700,000</u>
Operating Revenues:			
Sources: LTF	\$ <u>1,725,999</u>	\$ <u>1,800,000</u>	\$ <u>1,800,000</u>
STA	<u>11,614</u>	<u>5,000</u>	<u>5,000</u>
Federal	<u>993,613</u>	<u>395,000</u>	<u>395,000</u>
Fares	<u>300,000</u>	<u>450,000</u>	<u>450,000</u>
General Fund	<u></u>	<u></u>	<u></u>
Other	<u></u>	<u>50,000</u>	<u>50,000</u>
Total	\$ <u>3,031,226</u>	\$ <u>2,700,000</u>	\$ <u>2,700,000</u>
Capital Expenses	\$ <u>1,384,211</u>	\$ <u>1,375,000</u>	\$ <u>950,000</u>
Capital Revenue			
Sources: LTF	\$ <u>515,878</u>	\$ <u>250,000</u>	\$ <u>250,000</u>
STA	<u></u>	<u></u>	<u></u>
Federal	<u>743,333</u>	<u>1,000,000</u>	<u>700,000</u>
Other	<u>125,000</u>	<u>125,000</u>	<u></u>
Total	\$ <u>1,384,211</u>	\$ <u>1,375,000</u>	\$ <u>950,000</u>

FLEET INVENTORY
(Transit Vehicle Owners Only)

Make & Model	Year	# of Vehicles	Fuel Type	Standard Seat Capacity	# Wheel-chair Positions	Ramp (y/n)	Lift (y/n)
CNG DAR Buses	2001	6	CNG	48	24	n	y
NABI Low Floor	2000	5	CNG	185	10	y	n
Amtrans Senator	1991	1	CNG	33	2	n	y
Ford Senator	1996	2	UNL	44	10	n	y
Ford Senator	1996	3	UNL	66	6	n	y
Ford E-350	1995	5	CNG	16	10	n	y
Ford E-350	1995	2	CNG	16	4	n	y
Dupont Trolley	2001	1	CNG	37	2	n	y
TOTAL	NA	25	NA				

Vehicles to be Purchased in FY 2005-2006

Ford Cutaway	2005/06	5	CNG				

ARTICLE 4 OPERATOR TDA REQUIREMENTS

1. Fare Ratio/Local Support Requirements

All Article 4 claimants are required to maintain a specified ratio of fare revenue to operating cost. In addition, SMART only is required to maintain a ratio of fare revenue plus local support to operating cost of 32%. See 99268.2 - 99268.19 for details and exemptions pertaining to ratios.

A. What is this system's required farebox recovery ratio?

B. Does the attached budget demonstrate that this system will meet its required farebox recovery and for SMART its farebox plus local support ratios? _____

C. Has this system utilized its grace year? _____

D. Has this system been in non-compliance with its required ratio? _____

If yes, identify the year or years _____

2. Extension of Service/New Service

An extension of service or new service is exempt from the required farebox and local support ratios if:

A. The extension of service or new service has been in operation for less than two full fiscal years. The two-year extension of services exclusion applies until two years after the end of the fiscal year in which the extension of services was put into operation.

B. The claimant submits a report on the extension of services to the COG within 90 days after the end of the fiscal year. (For details of the report, see 6633.8(b)).

Is an extension of service/new service being claimed? _____

If so, has the required report been submitted for the most recently completed full fiscal year? _____ If not, that report must accompany this claim.

3. Operator's STA Qualifying Criteria (99314.6) EXPLANATION

A transit operator must meet one of two efficiency standards before STA funds may be allocated for operating purposes:

- A) The operator's operating cost per revenue vehicle hour, in the latest year for which audited data are available, must not exceed the sum of the preceding year's operating cost per revenue vehicle hour and an amount equal to the change in the Consumer Price Index (CPI)[†] for the San Francisco Region, multiplied by the preceding year's operating cost per revenue vehicle hour. The formula below accomplishes this exercise:

$$(\text{opcost}/\text{RVH})\text{FY04} \leq [(\text{opcost}/\text{RVH})\text{FY03}] * [1.00313] \quad \text{OR}$$

- B) The operator's average operating cost per revenue vehicle hour, in the latest three years for which audited data are available, must not exceed the sum of the average of the operating cost per revenue vehicle hour for the three years preceding the latest year for which audited data are available and an amount equal to the average change in the CPI for the same period. The formula below accomplishes this exercise:

$$\text{AVG}(\text{opcost}/\text{RVH})\text{FY02,03,04} \leq \{\text{AVG}(\text{opcost}/\text{RVH})\text{FY01,02,03}\} * \{1.0701\}$$

As used here, Operating Costs are defined by PUC Section 99247:

All costs in the operating expense object classes exclusive of the costs in the depreciation and amortization expense object class, and exclusive of all direct costs for providing charter services, and exclusive of all vehicle lease costs.

STA allows for other exclusions, to be granted by the COG, if deemed appropriate. These additional operating cost exclusions include:

- 1) Exclusion of cost increases beyond the change in the CPI for fuel, alternative fuel programs, insurance, or state and federal mandates.
- 2) Exclusion of start-up costs for new services for a period of not more than two years (refer to PUC Section 99268.8 for a definition of new service).

If you wish to claim these exclusions when calculating the operation cost per revenue vehicle hour, you must state the request and show calculations in support of the cost to be excluded.

[†] Percentage change across fiscal years using the California CPI.

Pursuant to PUC Section 99314.6(c), funds withheld from allocation to an operator for failure to meet the STA efficiency criteria will be retained by COG for reallocation to that operator for two years following the year of ineligibility. Any STA funds not allocated before the commencement of the third year following the year of the eligibility shall be reallocated to cost effective, high priority regional transit activities, as determined by the COG.

The following documents pertain to the new STA efficiency standards and are available at your request:

PUC Section 99314.6, also known as Chapter 35 Statutes of 1991 (SB 3-Kopp).

The Uniform System of Accounts for Public Transit Operators.

Consumer Price Index Data for California, January, 1981 through December, 2004.

Transportation Development Act Audit Reports, FY 1992 through FY 2004.

Please complete the attached worksheet to determine if you fully qualify for your STA apportionment. TDA Audit reports will address this efficiency criteria.

3. Operator's STA Qualifying Criteria (99314.6) - WORKSHEET

FISCAL YEAR: (use audited data)	2002-03	2003-04	2004-05	2005-06
A. Operating Cost	_____	_____	_____	_____
B. Operating Cost Exclusions:				
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
C. Adjusted Operating Cost (A-B)				
D. Revenue Vehicle Hours (RVH)	_____	_____	_____	_____
E. RVH Exclusions:				
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
(if more, show on separate sheet)				
F. Adjusted RVH (D-E)	_____	_____	_____	_____
G. Operating Cost per RVH (C÷F)	<div style="border: 1px solid black; padding: 5px; text-align: center;">W</div>	<div style="border: 1px solid black; padding: 5px; text-align: center;">X</div>	<div style="border: 1px solid black; padding: 5px; text-align: center;">Y</div>	<div style="border: 1px solid black; padding: 5px; text-align: center;">Z</div>

Efficiency Standard 1:

Z must be less than or equal to $(Y) \times (1.00313)$

Show calculation: _____

Efficiency Standard 2:

$[(X+Y+Z) \div 3]$ must be less than or equal to $<(W+X+Y) \div 3> \times (1.0701)$

Show calculation: _____

=====For COG use only=====

Operator qualifies under:	Standard 1	Yes _____	No _____
	Standard 2	Yes _____	No _____

=====

4. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an extra page if necessary. The 15% expenditure increases for the 2004/05 fiscal year occurred in three main areas: Fuel/Repair to Vehicles, Utilities, and Insurance. The increase in insurance costs was primarily driven by the raising of the limits of the insurance through our insurance pool. Fuel and repairs to vehicles were higher due to increased fuel prices and damages from accidents. While all of the costs to repair the vehicles have been paid, some of the settlement costs from the parties at fault have yet to be received. In addition, that revenue is not accounted for in the costs. Finally, due to increases in cost, the utilities continue to be above budget although some modifications (primarily to the lighting in the garage) have been undertaken and should help reduce costs in the upcoming years.a

5. Narrative Description (6632)

Please describe in the space below any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities. Please attach an additional page if necessary.

6. Certification by the California Highway Patrol (6632)

Please attach a certification from the CHP verifying that the operator is in compliance with Section 1808.1 of the California Vehicle Code. This section concerns the "Driver's Pull Notice participation"

Is a Certificate Attached? Yes ____ No ____

SPECIAL NOTES FOR RATIO CALCULATIONS

SMART - Exclude certain costs and fares as specified in the most recent Compliance Audit Report.

Article 8 Contractor TDA Requirements

For contracted transportation service providers, the San Joaquin Council of Governments' Executive Board has waived the farebox and local support ratios as it is empowered to do by 99405(c). The COG Board has established a two-step process.

NOTE: Contributing claimants should proceed to page 23.

1. Match Requirement

For any Article 8 transit claim, no more than 90% of the total operating funds (minus depreciation) in the budget may be TDA (LTF and STA) derived. The ten percent or more matching funds may come from any other source available to the claimant besides TDA.

2. Operating Cost Per Passenger Objective

To receive an amount of TDA operating funds (LTF and STA combined) in excess of what was claimed the previous fiscal year, the claimant must establish an operating cost per passenger objective for the fiscal year of the claim. "Operating cost" is defined as in the TDA statutes and regulations. The objective should be a realistic one based on current and past system performance, but should be low enough to represent an "improvement" when warranted. The COG Board will adopt the system-wide operating cost per passenger objective for the fiscal year of the claim. Operating cost per passenger objectives must be established by November of each fiscal year.

If the system failed to meet its operating cost per passenger objective in the fiscal year prior to the fiscal year of the claim, then the claimant is only eligible to file a claim for the level of TDA operating funding received in that prior fiscal year. In the case of a unified transit system, each claimant would be limited to the prior year's level of TDA operating funding. If a system wishes to be eligible for increased TDA operating funding in a future fiscal year, then the claimant should identify an operating cost per passenger objective.

a. What was the level of TDA operating funding received in the previous fiscal year for this system by this claimant (LTF plus STA)? \$ 1,789,287

b. Does the attached budget information demonstrate at least a 10% match of non-TDA funds in FY 2004-05? yes

Does the FY 2005-2006 budget demonstrate a 10% match of non-TDA funds? yes

- c. Is this claim requesting more TDA operating funds than were received for this system by this claimant in the previous fiscal year? no
- d. If yes, did the system meet its operating cost per passenger objective in the previous fiscal year? n/a
(An affirmative answer should be documented in Part "e".)
- e. What was the last year's Operating Cost per Passenger Objective? \$8.97

What was the actual operating cost per passenger?

I.	FY 2004-2005 Operating Cost	\$ <u>2,764,199.09</u>
ii.	Total Passengers	<u>441,236</u>
iii.	Operating Cost Per Passenger (I /ii)	\$ <u>6.26</u>

- f. What is the Operating Cost per Passenger Objective for this claim?

iv.	Budgeted Operating Cost	\$ <u>2,719,612</u>
v.	Estimated Total Passengers	<u>450,000</u>
vi.	Projected Operating Cost per Passenger (iv/v)	\$ <u>6.04</u>
vii.	FY 2005-2006 OPERATING COST PER PASSENGER OBJECTIVE	\$ <u>10.83</u>

THE PROJECTED 05-06 OPERATING COST PER PASSENGER (vi) MUST BE LESS THAN OR EQUAL TO THE 05-06 OPERATING COST PER PASSENGER OBJECTIVE (vii)!

- viii. If this claim is for a unified transit system², has the contributing claimant been appraised of the planned system-wide objective set in vii. above?
-

² If this claim is for a unified transit system (definition page 23), all calculations and numbers for operating costs per passenger must include system totals.

3. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an additional page if necessary.

4. Narrative Description (6632)

Please describe below any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities.

ARTICLE 8 CONTRACTOR TDA REQUIREMENTS (CONTRIBUTING CLAIMANTS)

In the case of a "unified transit system," this page is to be used by the "contributing claimant" rather than pages 20 through 22. A "unified transit system" is defined as one that has the same fare structure throughout the service area, but whose TDA expenses are claimed separately by two different TDA claimants. Additionally, to qualify as a unified transit system, all system TDA funding must be claimed under Article 8 (both claimants). "Contributing claimant" is defined as the claimant contributing a minority of the unified transit system's TDA funds. The claimant furnishing the majority of TDA funds is defined as the "primary claimant."

Currently, the following local transit services qualify as unified transit systems:

FY 2004-2005 Unified Transit Systems This Page Used by:

Tracy Transit	SMART
Tracy Taxi	SMART
Escalon Public Transit System	SMART
Manteca Dial a Ride	SMART
Lathrop (Currently inactive)	SMART

"Contributing claimants" need to answer the following questions:

1. Systemwide operating cost per passenger objective for FY 2005-2006 identified in primary claimant's adopted transit claim
(from that claim, page 21, (2) f. vii.) _____
2. Date of primary claimant's adopted transit claim (or anticipated future date, if not yet adopted)

IMPORTANT:

The operating cost per passenger objective identified above (page 21, (2) f. vii) will be applied uniformly to the total of City and SMART TDA funds used by the unified transit system, to determine eligibility for increased TDA funding as explained on page 20. Separate calculations will not be done for City and SMART.

PART II - PEDESTRIAN AND BICYCLE PROJECTS

LOCAL TRANSPORTATION FUND

[illegible]

(Use additional pages if necessary)

PART III - ROAD AND STREET PROJECTS

Please provide the requested information for each project being identified for Transportation Development Act funding.

LOCAL TRANSPORTATION FUND

Project Title & Description	Project Listing	<u>LTF Cost</u> Total Cost
Sidewalk Repairs	Various	27,749/ 86,080
Pine Street Overlay	Ham to Church	48,000/ 144,833
Downtown Improvements	Pine St North (School to Sac)	19,940/ 19,940
Sacramento St Reconstruction	Tokay/ Lodi	25,000/ 50,000
Downtown Improvements	Pine St South	58,000/ 58,000
Lodi/ Mills Signal & Interconnect	Lodi/ Mills	12,005/ 73,317
Signal Modifications	Chruch/ Lockeford Crescent/ Lodi Lodi/ Stockton	42,000/ 70,000
Lockeford/ Calaveras Flashing Crosswalk	Lockeford/ Calaveras	4,575/ 13,891
Signal Cabinet/ Traffic Controller		50,000/ 50,000
Church St	Church St	42,309/ 525,000
	<u>LTF Cost</u> : Total Cost :	329,578/ 525,000

(Use additional pages if necessary)

PART IV - OTHER PURPOSES

It is possible that a claimant may wish to expend TDA funds for purposes allowed within the Act, but not covered by the three previous parts. TDA funds may be claimed under Article 8 consistent with section 99400 of the TDA. To complete this section, on attached pages, identify:

- I. Project title
- ii. Applicable subdivision of section 99400
- iii. Project description
- iv. Estimated total cost
- v. TDA contribution to that total

A separate page or pages should be submitted for each specific project or purpose.

It is **strongly recommended** that the claimant consult with COG staff before completing this section.

M:\TDA\TDA-06\tdaclm06.wpd

RESOLUTION NO. 2005-221

A RESOLUTION OF THE LODI CITY COUNCIL AUTHORIZING
THE CITY MANAGER TO FILE THE 2005-06 CLAIM FOR
TRANSPORTATION DEVELOPMENT ACT FUNDS FROM
LOCAL TRANSPORTATION FUND AND FROM STATE
TRANSIT ASSISTANCE ON BEHALF OF THE CITY OF LODI

=====

NOW, THEREFORE, BE IT RESOLVED that the Lodi City Council does hereby approve and authorize the City Manager to file claim for the City of Lodi's 2005-06 Transportation Development Act funds in the amount of \$2,694,887 from the Local Transportation Fund and \$11,614 from State Transit Assistance; and

BE IT FURTHER RESOLVED that the Lodi City Council does hereby authorize the City Manager to execute the claim on behalf of the City of Lodi.

Dated: October 19, 2005

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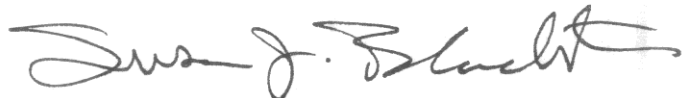
I hereby certify that Resolution No. 2005-221 was passed and adopted by the Lodi City Council in a regular meeting held October 19, 2005, by the following vote:

AYES: COUNCIL MEMBERS – Hansen, Hitchcock, Johnson, Mounce,
and Mayor Beckman

NOES: COUNCIL MEMBERS – None

ABSENT: COUNCIL MEMBERS – None

ABSTAIN: COUNCIL MEMBERS – None



SUSAN J. BLACKSTON
City Clerk